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Washington, D.C. 20231

OCTOBER 19, 2001

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ROBIN M. SILVA  
FOUR EMBARCADERO CENTER, SUITE 3400  
SAN FRANCISCO, CA 94111-4187



\*101816269A\*

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/13/2001

REEL/FRAME: 012075/0136  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
RIBOGENE, INC.

DOC DATE: 07/13/2001

ASSIGNEE:  
QUESTCOR PHARMACEUTICALS, INC.  
3260 WHIPPLE ROAD  
UNION CITY, CALIFORNIA 94587-1217

SERIAL NUMBER: 08221816  
PATENT NUMBER: 5738985

FILING DATE: 04/01/1994  
ISSUE DATE: 04/11/1998

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## ASSIGNMENT

WHEREAS, **Questcor Pharmaceuticals, Inc.**, a corporation of the State of California, having a place of business at 3260 Whipple Road, Union City, California (hereinafter "Assignor") is the owner of the entire right, title and interest in and to the United States Patent Application, filed on April 1, 1994, as Serial No. 08/221,816, now U.S. Patent No. 5,738,985, issued on April 14, 1998, entitled Method for Selective Inactivation of Viral Replication (hereinafter "application"), and the invention described and claimed therein, free and clear of any lien, encumbrance, charge, equity or restriction; and

WHEREAS, **Rigel Pharmaceuticals, Inc.**, a corporation of the State of California, having a place of business at 240 East Grand Avenue, South San Francisco, California (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby sell, assign, transfer and convey unto Assignee, its successors and assigns, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

THE SAME TO BE HELD AND ENJOYED BY Assignee, its successors and assigns, for its own use and behoof, for the life of rights in and to said invention, including to the full end of the life of the said Letters Patent of the United States and to the full end of the life of

any foreign patent which exists or may be granted, as fully and entirely as the same might or could have been enjoyed by Assignor had this assignment and sale not been made.

IN ORDER TO CARRY OUT IN GOOD FAITH the intent and purpose of this assignment, Assignor agrees to cooperate with Assignee in maintaining said Patent and vesting said title in it and to execute all papers which Assignee shall deem desirable or necessary for vesting title to said invention, U.S. Letters Patent, or foreign patents or patent applications in Assignee.

IN WITNESS WHEREOF, Assignor has hereunto set its hand by its duly authorized officer, at and in the City of Union City, County of Alameda, State of California, this 13 day of July, 2001.

ASSIGNOR:

Questcor Pharmaceuticals, Inc.

By: Charles J. Casamento

Name: Charles J. Casamento

Title: Chairman, President & CEO

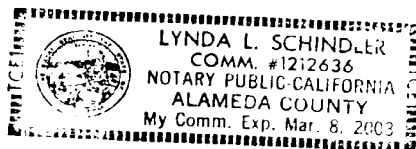
STATE OF CALIFORNIA )  
 )  
COUNTY OF Alameda ) ss.

On this 13 day of July, 2001, personally appeared the above-named Charles J. Casamento personally known to me and known by me to be the one who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed, before me.

Lynda L. Schindler  
Notary Public

My commission expires:

3/8/03





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

RECEIVED

MAR 10 2003

TECH CENTER 1600/2900

DATE: 09/21/94  
TO:

N09A

RICHARD J. WARBURG, ESQ.  
SAN DIEGO OFFICE  
611 WEST SIXTH STREET, 34TH FLOOR  
LOS ANGELES, CA 90017

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR: MILES, VINCENT J. DOC DATE: 08/10/94

ASSIGNOR: MATHEWS, MICHAEL B. DOC DATE: 08/17/94

ASSIGNOR: KATZE, MICHAEL G. DOC DATE: 08/16/94

ASSIGNOR: WITHERELL, GARY DOC DATE: 08/10/94

ASSIGNOR: WATSON, JULIA DOC DATE: 08/10/94

RECORDATION DATE: 09/01/94 NUMBER OF PAGES 005 REEL/FRAME 7119/0098

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:  
RIBOGENE, INC.  
21375 CABOT BOULEVARD  
HAYWARD, CA 94545

RECORDED

OCT 17 1994

U.S. DEPT. OF COM.

7119/0098 PAGE 0002

SERIAL NUMBER	8-221816	FILING DATE	04/01/94
PATENT NUMBER		ISSUE DATE	00/00/00

*Margaret LaSalle*

EXAMINER/PARALEGAL  
ASSIGNMENT BRANCH  
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

ASSIGNMENT

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206/27  
MAR 10 2003  
TECH CENTER 1600/2900

For valuable consideration, we, Vincent J. Miles of San Ramon, California; Michael B. Mathews of Cold Spring Harbor, New York; Michael G. Katze of Seattle, Washington; Gary Witherell of Dublin, California; and Julia Watson of Mountain View, California, hereby assign to RIBOGENE, INC., a California corporation having a place of business at 21375 Cabot Boulevard, Hayward CA 94545, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent filed on April 1, 1994, U.S. Serial No. 08/221,816, entitled METHOD FOR SELECTIVE INACTIVATION OF VIRAL REPLICATION, this assignment including said application, any and all United States and foreign patents granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

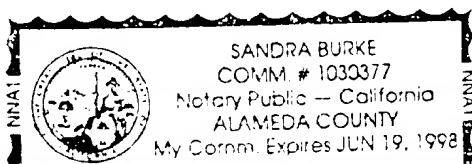
IN WITNESS WHEREOF, I hereto set my hand and seal at Hayward, California this 10th day of August, 1994.

Vincent J. Miles  
Vincent J. Miles

State of California :  
ss.  
County of Alameda :

Before me this 10th day of August, 1994, personally appeared Vincent J. Miles known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

[Notary's  
Seal Here]



Sandra L. Burke  
Notary Public

My commission expires:

REEL 7119 FRAME 099

IN WITNESS WHEREOF, I hereto set my hand and seal at Cold Spring Hbr., New York this  
17<sup>th</sup> day of August, 1994.

Michael B. Mathews  
 Michael B. Mathews

State of NEW YORK :  
 County of NASSAU : SS.

Before me this 17<sup>th</sup> day of AUGUST, 1994, personally appeared  
Michael B. Mathews known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed  
 for the purposes therein contained.

Guido Cozza  
 Notary Public

[Notary's  
 Seal Here]

**GUIDO COZZA**  
 Notary Public, State of New York  
 No. 30-4643488  
 Qualified in Nassau County  
 Commission Expires Jan. 31, 1996

My commission expires:

JANUARY 31, 1996

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_, \_\_\_\_\_ this  
 \_\_\_\_ day of \_\_\_\_\_, 1994.

\_\_\_\_\_  
 Michael G. Katze

State of \_\_\_\_\_ :  
 County of \_\_\_\_\_ : SS.

Before me this \_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, personally appeared  
Michael G. Katze known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed  
 for the purposes therein contained.

\_\_\_\_\_  
 Notary Public

[Notary's  
 Seal Here]

My commission expires:

REEL 7119 FRAME 100

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_ this  
 \_\_\_\_ day of \_\_\_\_\_, 1994.

\_\_\_\_\_  
 Michael B. Mathews

State of \_\_\_\_\_ :  
 \_\_\_\_\_ ss.  
 County of \_\_\_\_\_ :

Before me this \_\_\_\_ day of \_\_\_\_\_, 1994, personally appeared  
Michael B. Mathews known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed  
 for the purposes therein contained.

[Notary's  
 Seal Here]

\_\_\_\_\_  
 Notary Public

My commission expires:

IN WITNESS WHEREOF, I hereto set my hand and seal at Seattle, WA this  
16<sup>th</sup> day of August, 1994.

Michael G. Katze  
 Michael G. Katze

State of Washington :  
 \_\_\_\_\_ ss.  
 County of King :

Before me this 16<sup>th</sup> day of August, 1994, personally appeared  
Michael G. Katze known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed  
 for the purposes therein contained.

[Notary's  
 Seal Here]

M Katze  
 Notary Public

My commission expires: 4/1/97

REEL 7119 FRAME 101



IN WITNESS WHEREOF, I hereto set my hand and seal at Hayward, CA this  
10 day of August, 1994.

Gary Witherell  
 Gary Witherell

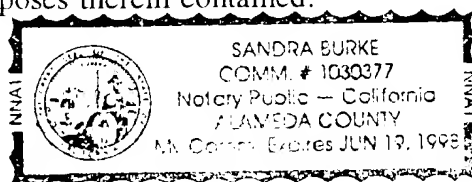
State of California :

SS.

County of Alameda :

Before me this 10 day of August, 1994, personally appeared  
Gary Witherell known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed  
 for the purposes therein contained.

[Notary's  
 Seal Here]



Sandra L. Burke  
 Notary Public

My commission expires:

IN WITNESS WHEREOF, I hereto set my hand and seal at Hayward CA this  
10<sup>th</sup> day of August, 1994.

Julia Watson  
 Julia Watson

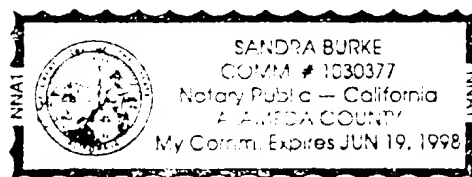
State of California :

SS.

County of Alameda :

Before me this 10<sup>th</sup> day of August, 1994, personally appeared  
Julia Watson known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed  
 for the purposes therein contained.

[Notary's  
 Seal Here]



Sandra L. Burke  
 Notary Public

My commission expires:

REL 7119 PAGE 102

U.S. DEPT. OF COMMERCE  
 PATENT AND TRADEMARK  
 OFFICE

SEP - 1 1994



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 22, 2001

PTAS  
FLEHR HOHBACH TEST ALBRITTON ET AL  
ROBIN M. SILVA  
FOUR EMBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO, CA 94111-4187



\*101819116A\*

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RECORDATION DATE: 08/15/2001

REEL/FRAME: 012075/0387  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
QUESTCOR PHARMACEUTICALS, INC.

DOC DATE: 07/13/2001

ASSIGNEE:  
RIGEL PHARMACEUTICALS, INC.  
240 E. GRAND AVENUE  
SO. SAN FRANCISCO, CALIFORNIA 94080

SERIAL NUMBER: 08221816  
PATENT NUMBER: 5738985

FILING DATE: 04/01/1994  
ISSUE DATE: 04/14/1998

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

REC

08-22-2001



H T

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101819116

ginal documents or copy thereof.

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

Questcor Pharmaceuticals, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies):

Internal Address: Rigel Pharmaceuticals, Inc.

Street Address: 240 E. Grand Avenue

City: So. San Francisco

State: California

Zip: 94080

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s):

B. Patent No.(s) 5,738,985

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin M. Silva

Internal Address: FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Street Address: SUITE 3400

FOUR EMBARCADERO CENTER

City: SAN FRANCISCO

State: CA

Zip: 94111-4187

6. Total number of applications and patents involved:

One (1)

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 06-1300

Please debit any underpayment or credit any overpayment to the above deposit account.

Our Order No. \_\_\_\_\_

(Attach duplicate of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robin M. Silva, Reg.No. 38,304  
Name of Person Signing

Robin M. Silva  
Signature

8/8/01  
Date

Total number of pages including cover sheet, attachments and document: [3]

OMB No. 0651-0011 (exp. 4/94)

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Washington, DC 20231

08/22/2001 LMUELLER 00000036 5738985

01 FC:581

40.00 00

File No. A-70298-1/RMS/BTC

Rev. 8/93

## ASSIGNMENT

WHEREAS, **Ribogene, Inc.**, a corporation of the State of California, having a place of business at 3260 Whipple Road, Union City, California (hereinafter "Assignor") is the owner of the entire right, title and interest in and to the United States Patent Application, filed on April 1, 1994, as Serial No. 08/221,816, now U.S. Patent No. 5,738,985, issued on April 14, 1998, entitled Method for Selective Inactivation of Viral Replication (hereinafter "application"), and the invention described and claimed therein, free and clear of any lien, encumbrance, charge, equity or restriction; and

WHEREAS, **Questcor Pharmaceuticals, Inc.**, a corporation of the State of California, having a place of business at 3260 Whipple Road, Union City, California (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby sell, assign, transfer and convey unto Assignee, its successors and assigns, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

THE SAME TO BE HELD AND ENJOYED BY Assignee, its successors and assigns, for its own use and behoof, for the life of rights in and to said invention, including to the full end of the life of the said Letters Patent of the United States and to the full end of the life of

any foreign patent which exists or may be granted, as fully and entirely as the same might or could have been enjoyed by Assignor had this assignment and sale not been made.

IN ORDER TO CARRY OUT IN GOOD FAITH the intent and purpose of this assignment, Assignor agrees to cooperate with Assignee in maintaining said Patent and vesting said title in it and to execute all papers which Assignee shall deem desirable or necessary for vesting title to said invention, U.S. Letters Patent, or foreign patents or patent applications in Assignee.

IN WITNESS WHEREOF, Assignor has hereunto set its hand by its duly authorized officer, at and in the City of Union City, County of Alameda, State of California, this 13 day of July, 2001.

ASSIGNOR:

Ribogene, Inc.

By: Charles J. Casamento

Name: Charles J. Casamento

Title: Chairman, President & CEO

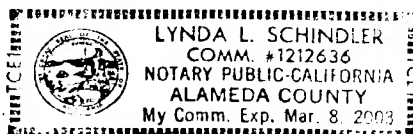
STATE OF CALIFORNIA )  
 )ss.  
COUNTY OF Alameda )

On this 13 day of July, 2001, personally appeared the above-named Charles J. Casamento, personally known to me and known by me to be the one who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed, before me.

Lynda L. Schindler  
Notary Public

My commission expires:

3/8/03



#17

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Steven F. Goldstein

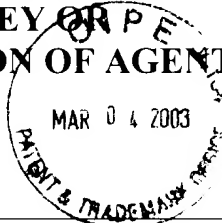
Signature



Date

**REVOCATION OF POWER OF  
ATTORNEY/POWER OF  
ATTORNEY OR POWER OF  
AUTHORIZATION OF AGENT**

Commissioner for Patents  
Washington, D.C. 20231



Attorney Docket

RIGL-009CON

First Named Inventor

MILES, VINCENT J.

Application Number

09/724,380

Filing Date

November 28, 2000

Group Art Unit

1636

Examiner Name

GUZO, DAVID

Title: "METHOD FOR SELECTIVE INACTIVATION OF VIRAL REPLICATION"

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TECH-CENTER 330/2900

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application and hereby appoint Practitioners at:



24353

whose address is: Bozicevic, Field & Francis LLP, 200 Middlefield Road, Suite 200, Menlo Park, CA 94025 as its attorney(s) or agent(s) to prosecute the application identified above, to prepare and file amendments, to inspect and make copies thereof and of any papers in any appellate or *inter partes* proceedings in which the Application may be or become involved, and generally to conduct all business in the United States Patent and Trademark Office relating to the prosecution of the application or any application that claims priority from this application.

James J. Diehl (Reg. No. 47,527)



Please change the correspondence address for the above-identified application to the above-mentioned customer number.

**STATEMENT UNDER 37 CFR § 3.73(b)**

In accordance with 37 CFR § 3.73(b) I hereby certify that I am empowered to act on behalf of the Assignee of the above-identified patent application. The Assignment was recorded with the U.S. Patent Office on August 15, 2001 at Reel 012075, Frames 0133 to 0135.

I declare that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code § 1001 and that such willful false statements may jeopardize the validity of the above referenced application or any patent resulting from that application.

I am the:

\_\_\_\_ Applicant; or  
☒ Assignee of record of the entire interest  
 \_\_\_\_ Attorney of record

**SIGNATURE of Applicant, Assignee or Attorney of Record**

Name Dolly Vance, General Counsel and Vice President of Intellectual Property, Rigel Pharmaceuticals, Inc.

Signature



Date

2/18/03



08-20-2001



101816269

S. JET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents

... original documents or copy thereof.

1. Name of conveying party(ies):

Ribogene, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

Execution Date:

2. Name and address of receiving party(ies):

Internal Address: Questcor Pharmaceuticals, Inc.

Street Address: 3260 Whipple Road

City: Union City

State: California

Zip: 94587-1217

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s) 5,738,985

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin M. Silva

Internal Address: FLEHR HOHBACH TEST

ALBRITTON & HERBERT LLP

Street Address: SUITE 3400

FOUR EMBARCADERO CENTER

City: SAN FRANCISCO

State: CA

Zip: 94111-4187

6. Total number of applications and patents involved:

One (1)

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 06-1300

Please debit any underpayment or credit any overpayment to the above deposit account.

Our Order No. \_\_\_\_\_

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9. Statement and signature.

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Robin M. Silva, Reg.No. 38,304  
Name of Person Signing

Robin M. Silva  
Signature

8/8/01  
Date

Total number of pages including cover sheet, attachments and document: [3]

OMB No. 0651-0011 (exp. 4/94)

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08/17/2001 6TOM11 00000071 5738985

01 FC:581

40.00 DP

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, DC 20231

File No. A-70298-1/RMS/BTC

Rev. 8/93

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4-94)

RECORDATION FORM COVER SHEET  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Vincent J. Miles Gary Witherell  
Michael B. Mathews Julia Watson  
Michael G. KatzeAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: RIBOGENE, INC.

Internal Address: Hayward, CA

Street Address: 21375 Cabot Boulevard

City: Hayward State: CA ZIP: 94545

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 10, 16 &amp; 17, 1994

## 4. Application number(s) or patent number(s): 08/221,816, filed April 1, 1994

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 30, 1994  
Sheryl SchaperAdditional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: RICHARD J. WARBURG, ESQ.

Internal Address: SAN DIEGO OFFICE

Street Address: 611 West Sixth Street

34th Floor

City: Los Angeles State: CA ZIP: 90017

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

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(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

RICHARD J. WARBURG  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6